

**UTILITY
PATENT APPLICATION
TRANSMISSION**

Our Docket No.:
A-69958/RMA (468940-1172)

Date: December 5, 2003

First Named Inventor: Steven Eckroad

Title: Integrated Closed Loop Control Method and
Apparatus for Combined Uninterruptible Power
Supply and Generator System

Express Mail No.: EV 182097434US



ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APPLICATION ELEMENTS

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
3. ☒ Specification Total Pages: 50 pages
 - ☒ Descriptive title of the invention
 - ☒ Cross References to Related Applications
 - ☐ Statement Regarding Fed. Sponsored R&D
 - ☐ Reference to Microfiche Appendix
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claims- 6 pages
 - ☒ Abstract of the Disclosure- 1 page
4. ☒ Drawings (35 U.S.C. 113) Total Sheets: 23
5. ☒ Oath or Declaration Total Pages: 3
 - a. ☐ Newly Executed (original or copy)-
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)
 1. ☐ **DELECTION OF INVENTORS**
Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))
6. ☐ Application Data Sheet (37.C.F.R. 1.76)

- 7.. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. ☐ Recorded Assignment from prior application
11. ☐ 37 C.F.R. 3.73(b) Submission
12. ☐ Revocation and Power of Attorney from prior application
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement (with Copies of Citations as necessary)
15. ☐ Preliminary Amendment Total Pages:
16. ☒ Return Receipt Postcard (Should be specifically itemized)
17. ☐ Certified Copy of Priority document(s) (if foreign priority is claimed)
18. ☐

19. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application Nos.:

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

CORRESPONDENCE ADDRESS

| | | | |
|-----------|---|--------------------------------------|---------------------------|
| ATTY NAME | R. Michael Ananian Reg. No. 35,050 | SIGNATURE: <i>R. Michael Ananian</i> | DATE: December 5, 2003 |
| ADDRESS | DORSEY & WHITNEY LLP Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187 | | Customer Number: 32940 |
| TELEPHONE | (650) 494-8700 | | |
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1066858



14230 U.S. PTO

APPLICATION FEE TRANSMITTAL SHEET (FY 2004)

Complete if Known

| | |
|----------------------|-----------------------------|
| Application No. | To be assigned |
| Filing Date | December 5, 2003 (herewith) |
| First Named Inventor | Steven Eckroad |
| Group Art Unit | To be assigned |
| Examiner Name | To be assigned |
| Atty. Docket Number | A-69958/RMA |

METHOD OF PAYMENT (Check One)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 50-2319

Deposit Account Name: DORSEY & WHITNEY LLP

- ☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
☐ Applicant claims small entity status (see 37 C.F.R. 1.27)

2. ☐ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee | Small Entity Fee | Fee Description |
|------------------|------------------|--|
| 160 | 80 | <input type="checkbox"/> Provisional Filing Fee |
| 770 | 385 | <input checked="" type="checkbox"/> Utility Filing Fee |
| 340 | 170 | <input type="checkbox"/> Design Filing Fee |
| 770 | 385 | <input type="checkbox"/> Reissue Filing Fee |
| Subtotal (1) | | \$770 |

2. EXTRA* CLAIM FEES

| | Number Claims | Prior | Extra | Fee from Below* | Fee Paid |
|---------------------------|---------------|-------|-------|-----------------|----------|
| Total | 55 | - 20 | = 35 | x 18 | = 630.00 |
| Indep. | 7 | - 3 | = 4 | x 86 | = 344.00 |
| Multiple Dependent Claims | | | = | x | = |
| Subtotal (2) | | | | | 974.00 |

*Calculation of Extra Claim Fees

| Large Entity Fee | Small Entity Fee | Fee Description |
|------------------|------------------|---|
| 18 | 9 | Claims in excess of 20 |
| 86 | 43 | Independent claims in excess of 3 |
| 290 | 145 | Multiple dependent Claim |
| 84 | 42 | Reissue independent claims over original patent |
| 18 | 9 | Reissue claims in excess of 20 and over original patent |

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

| Large Entity Fee | Small Entity Fee | Fee Description | Fee paid |
|--------------------------|------------------|--|----------|
| 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 130 | 65 | Surcharge - Late nonprovisional filing fee or oath | |
| 180 | 180 | Submission of IDS | |
| 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 110 | 55 | Extension for reply within first month | |
| 420 | 210 | Extension for reply within second month | |
| 950 | 475 | Extension for reply within third month | |
| 1,480 | 740 | Extension for reply within fourth month | |
| 2010 | 1005 | Extension for reply within fifth month | |
| 770 | 385 | Submission After Final 1.129 | |
| 330 | 165 | Notice of Appeal | |
| 330 | 165 | Filing a brief in support of an appeal | |
| 290 | 145 | Request for oral hearing | |
| 110 | 55 | Terminal Disclaimer Fee | |
| 130 | 130 | Petitions to the Commissioner | |
| 50 | 50 | Petitions related to provisional applications | |
| 1,330 | 665 | Utility/Reissue Issue Fee (including advance copies) | |
| 480 | 240 | Design Issue Fee (inc. advance copies) | |
| 770 | 385 | Request for Continued Examination (RCE) | |
| 300 | 300 | Publication fee for early, voluntary, or normal publication | |
| 300 | 300 | Publication fee for re-publication | |
| 110 | 55 | Petition to Revive - unavoidable | |
| 1,330 | 665 | Petition to Revive - unintentional | |
| OTHER FEE (specify): | | | |
| Subtotal (3) | | | |
| Total Amount of Payment: | | | 0.00 |

Submitted by:

| | | |
|--------------------------------------|---|-------------------------|
| Name: R. Michael Ananian | Reg. No.: 35,050 | Telephone: 650-494-8700 |
| DORSEY & WHITNEY LLP | Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187 | Customer Number 32940 |
| Signature: <i>R. Michael Ananian</i> | Date: December 5, 2003 | |